



Exceed

Academies Trust

SCHEME OF DELEGATION

The purpose of this document is to clarify where responsibility and accountability sits for key functional areas within Exceed Academies Trust. In line with our values, particularly that of transparency, it is vital that a clear scheme of delegation defines lines of responsibility and accountability for decision making.



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Approved by	Date Agreed	Next Review
(V1) Trust Board	28 March 2019	2020 Term 2
(V1) Trust Board	16 July 2020	2020 Term 3
(V2) Trust Board	16 December 2020	2021 Term 3
(V2) Trust Board	21 October 2021	2022 Term 1

Exceed Academies Trust Board Scheme of Delegation

Introduction

The Board of Exceed Academies Trust is accountable in law for all decisions about the Trust and its schools. It is vital to ensure there are systems in place so the Board is assured of the quality of education as well as the safety and good practice of activity within the Trust. However, this does not mean the Board is required to undertake all tasks or make all the decisions itself. This Scheme of Delegation outlines delegated responsibilities for the key governance tiers of Exceed Academies Trust. It sits alongside but does not seek to replicate information contained in other key documents such as the Articles of Association, scheme of financial delegation or policy schedules.

The intention of this document is to:

- Set out delegations for specific areas of activity or decision making in a clear, usable format
- Provide clarity, consistency and avoid duplication or overlap in governance
- Seek to place governance decision making as close as possible to the point of impact.

Exceed Academies Trust's approach to governance allows it to adapt to the different needs of the schools. There may be circumstances when the Board will need to intervene and choose to withdraw specific delegated authorities although these are expected to be the exception rather than the rule. Possible examples where this may be required include, but are not limited to, significant concerns within a school which may relate to safeguarding, finance, educational performance or an adverse Ofsted inspection.

Note: This document does not lay out every legal responsibility of the Trust or every activity in the remit of individual stakeholders; rather it is concerned with the core activities that are carried out within each area of operational delivery and how they are coordinated between the various decision makers.

The overriding legal assumption that sits behind this Scheme of Delegation is that all Exceed schools and academies are governed by one Trust and a single Board of Trustees. The Board of Trustees is the legally accountable body but can delegate many of its functions (for example to a local advisory board or an executive team). The Exceed Board remains accountable for these functions.

This Scheme of Delegation does not dictate when responsibilities may be further delegated within sets of stakeholders – for example by the Trust Board to a Committee, a Headteacher to other staff within the school, or the Exceed Chief Executive Officer to other staff. Some of our schools operate with an Executive Headteacher/Head of School model; where this is the case, the Executive Headteacher is accountable for decisions taken by the Head of School.

There will be circumstances where the rules may change – for example in crisis situations, the Trust Central Team may take on a much more involved role or where stakeholders may go above and beyond the level of support that is articulated here – for example the LAB may be engaged on a range of other aspects not explicitly referenced here.

This overarching Scheme of Delegation for all decision making in the Trust should not be confused with the written scheme of delegation of financial powers referred to in the Academy Trust Handbook.

Vision and Values

This document is underpinned by our core educational vision to provide an **outstanding school experience for all** and our ethical goals:



There is an expectation that all involved with governance of the Trust and our schools and academies are committed to undertake their roles in line with Nolan's seven principles of public life: selflessness, integrity, objectivity, accountability, openness, honesty, and leadership.

Governance Model

Exceed Academies Trust is an educational charity that runs schools and a company limited by guarantee. Details of Trust Members, Trustees, and senior staff, including business interests and attendance at meetings, can be found on the Trust's website.

Exceed has set up a governance model that ensures clear and non-duplicative roles and responsibilities within the overall governance structure. There is clear separation between Members and Trustees, and Executive and Non-Executive. The Executive team facilitates communications between levels of governance.

The key tiers of governance of the Trust are:

- **Members:** the guardians of the constitution (Articles of Association) who ensure the charitable objects are fulfilled. As outlined in the Department for Education's Governance Handbook, members have a strategic 'eyes on, hands off' role. This document sets out delegations from the Trust Board to other governance stakeholders and as such it does not detail the role of the Trust's Members. The Academy Trust Handbook and the Trust's Articles of Association, together with relevant legislation, contain key information on Members. Members have specific roles which can include amending the articles of association, appointing or removing Members or trustees, appointing the Trust's auditors and receiving the audited annual accounts, and the power to change the Trust's name and, ultimately, wind it up.

- **Board of Trustees** (also known as Directors under company law): accountable to the Members, Secretary of State for Education, and the wider community for the quality of the education provided to pupils and students and for the appropriate expenditure of public money. The Board hold ultimate legal accountability and are required to have systems to assure themselves of the quality, safety and good practice of the affairs of the Trust. It is recognised that, whilst the Board can choose to delegate some of its functions, it cannot delegate its responsibilities.

The Board constitutes a Risk & Audit Committee and a Teaching School Hub Committee. As Board Committees, the Membership of each includes a majority of Trustees. The Risk & Audit Committee provides assurance to the Board over the suitability of, and compliance with, its financial systems and operational controls, and to ensure that risks are being adequately identified and managed. The Teaching School Hub Committee fulfils the strategic governance responsibilities with regards to the Bradford Teaching School Hub on behalf of the Board.

- **Local Advisory Boards (LAB)**: accountable to the Trust Board, provide a crucial monitoring, scrutiny and support role at a local level and have some delegated responsibilities particularly for their own school.
- **Chief Executive Officer (CEO)** with the Executive Team: responsible for delivering the educational and operational outcomes for Exceed Academies Trust as set by the Board. The CEO is included to reflect their role as the Accounting Officer and their personal responsibility to the ESFA and DfE.
- **Headteachers**: responsible for the performance and defined operational delivery areas within their own school including oversight of their senior leadership team. Individual schools may have alternative titles for this position such as Executive Headteacher.

For clarity, it is noted that a 'school' is defined as an individual school within the Trust, as denoted by their Unique Reference Number. As such a 'school' may span one or several sites and/or phases of education.

The Department for Education (DfE) has produced a guidance document designed to provide high-level information about the roles and responsibilities each structure and person holds in academy trust governance. The document can be accessed here: <https://www.gov.uk/government/publications/governance-structures-and-roles> .

Approval Levels

The main approval levels used in this document are:

- Approve** Responsible for approving a document or process and, where appropriate, determining how the task will be undertaken including defining appropriate milestones and targets to be reported against. Where this relates to appointments, for example of a lead trustee role, this is included in the delegations as **'Appoint'**.
- Consulted** Will be consulted as part of the process of completing a task. Their contributions *may* inform the approach or decision.
- Deliver** Operationally responsible for undertaking a task and reporting on its delivery at suitable intervals. It is recognised that the person responsible for delivering specific areas of work may draw on other resources or work with colleagues. As an example, whilst the Headteacher's **'deliver'** the budget for their own school, they will work with other colleagues, including those in finance, in order to do this.
- Develop** Responsible for developing and supporting proposals for discussion and approval or review by the appropriate decision-making individual/group.
- Informed** Will receive one way information on decisions or approaches.
- Monitor** Will consider actions being planned or taken or progress made as part of the process of completing a task. Where required, this monitoring role may include suggesting action to be taken to contribute to the task being delivered appropriately.
- Recommend** Will make recommendations as to how a task, decision or approach should be undertaken or completed. The recommendation will *usually* inform the approach or decision.
- Report** Responsible for reporting on the delivery of tasks, in some cases after a review of delivery undertaken by others or drawing on input from colleagues, for example on financial monitoring or reporting. The document refers to internal reporting, for example from the CEO to the Board, but does not seek to capture external reporting, for example to the ESFA or Companies House.
- Review** Responsible for reviewing whether a task is being carried out satisfactorily and, where appropriate, requiring action to be taken to ensure the task is delivered appropriately.

The scheme of delegation also indicates areas of accountability – **'Accountable'**

Audience

This document is designed for use by key governance stakeholders within the Trust as well as externally. It will be made available on the Trust's website.

Approval and Renewal

The Exceed Academies Trust Scheme of Delegation will be reviewed at least annually and approved by the Trust Board, so that the roles and responsibilities can be updated to reflect organisational priorities, good practice and updates to requirements or legislation.

Acronyms

The following acronyms are used in this document:

ATH	Academy Trust Handbook
Articles	Exceed Academies Trust Articles of Association
CEO	Chief Executive Officer
DfE	Department for Education
DSL	Designated Safeguarding Lead
DBS	Disclosure & Barring Service checks
ESFA	Education and Skills Funding Agency
EYFS	Early Years Foundation Stage
HT	Headteacher
KPIs	Key performance indicators
LAB	Local Advisory Board (referred to in the Articles as Local Governing Bodies)
LAC	Looked after children
SEF	Self-Evaluation Form
SEND	Special Educational Needs and Disability
SIP	School improvement plan

Scheme of Delegation Table

This table sets out responsibilities and decision making authority in areas where it is important to define the role of different leaders across the Trust. It does not prescribe every activity in the remit of individual leaders.

Where a school is led by an Executive Headteacher alongside a Head of School, the Executive Headteacher is accountable for decisions taken by the Head of School.

Policies listed are indicative - a full list of policies is available on the policies drive on the Exceed network.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
1	BEING STRATEGIC				
1.1	Trust vision and strategy, including any plans for growth or significant change	<ul style="list-style-type: none"> Develop (i.e. develop high level thinking and approach/ consultation for any significant review) and approve. 	<ul style="list-style-type: none"> Develop (i.e. develop detail and documentation including consulting), then recommend. Deliver and review to ensure vision and strategy inform including at school level. 	<ul style="list-style-type: none"> Expect to be consulted for significant review. Informed on approved approaches. 	<ul style="list-style-type: none"> Expect to be consulted for significant review or change. Informed on approved approaches.
1.2	Trust ethos and values	<ul style="list-style-type: none"> Develop (i.e. develop high level thinking and approach/ consultation for significant review) and approve. 	<ul style="list-style-type: none"> Develop (i.e. develop detail and documentation including consulting), then recommend. Deliver and review to ensure ethos and values reflected across Trust and schools. 	<ul style="list-style-type: none"> Expect to be consulted for significant review. Informed on approved approaches. 	<ul style="list-style-type: none"> Expect to be consulted for significant review. Informed on approved approaches. Deliver for school. Report to CEO/LAB on implementation.
1.3	Trust Development Plan including key priorities, KPIs, input from school improvement plans	<ul style="list-style-type: none"> Approve and review. 	<ul style="list-style-type: none"> Develop and deliver in line with vision and strategy, meeting external expectations or requirements. 	<ul style="list-style-type: none"> Contribute through school plans which inform Trust plan. Informed on Trust Plan. 	<ul style="list-style-type: none"> Contribute through school plans which inform Trust plan. Informed on Trust Plan.
1.4	Risk Management and control	<ul style="list-style-type: none"> Approve Risk Framework. Review and approve Trust risk register informed by CEO reports. Review key risks. Review regular reports from CEO. 	<ul style="list-style-type: none"> Develop and recommend Risk Framework. Develop, deliver, review and report on risk management, risk register and controls for Trust and schools. Monitor and review school risk registers. 	<ul style="list-style-type: none"> Informed on key risks and related issues within school. Monitor and review key school risks. 	<ul style="list-style-type: none"> Review and deliver risk register for school, update and report to CEO. Report to LAB on key risks and issues for school.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
1.5	Potential new schools to join the Trust	<ul style="list-style-type: none"> • Approve in line with Trust vision and strategy. • Review timeline, due diligence and delivery plan for any new school being considered. • Accountable for signing new school legal documentation. 	<ul style="list-style-type: none"> • In line with vision and strategy, review and recommend possible schools to join the Trust to the Board. • Deliver decisions made, including completion of necessary due diligence. 	<ul style="list-style-type: none"> • Informed on potential new schools and decisions. • May have role working with new schools as identified by Board or CEO. 	<ul style="list-style-type: none"> • Consulted on potential new schools. • Informed on decisions. • Expected to have role working with new schools as identified by Board or CEO.
2	GOVERNANCE				
2.1	Trust Governance structure and delegations incl. terms of reference	<ul style="list-style-type: none"> • Approve annually. 	<ul style="list-style-type: none"> • Develop, review and recommend proposed approach informed by consultation, compliance and Trust practice. • Develop and recommend scheme of delegation and terms of reference. • Deliver delegations for CEO. 	<ul style="list-style-type: none"> • Consulted and deliver LAB delegations; informed on overall approach. 	<ul style="list-style-type: none"> • Consulted on HT and LAB delegations; informed on overall approach. • Deliver at HT level.
2.2	Recruitment of Trustees	<ul style="list-style-type: none"> • Review CEO reports and review need for future reports, audits or recruitment. • Approve process and timeline to seek potential new co-opted Trustees. 	<ul style="list-style-type: none"> • Develop periodic skills audits to identify gaps including in finance, and deliver induction/training. • Consulted on Trust needs and gaps. • Deliver support and report to Board (and Members) on Trustee recruitment and eligibility. 	<ul style="list-style-type: none"> • Informed 	<ul style="list-style-type: none"> • Informed
2.3	Appointment or removal of Trustees in line with Articles	<ul style="list-style-type: none"> • Appoint co-opted Trustees. • Approve any decision to suspend or remove Trustees in line with Articles. • Contribute to appointment process, subject to approach. 	<ul style="list-style-type: none"> • Deliver support and report to Board (or Members) regarding appointments and any possible need to suspend or disqualify a trustee in line with Articles. 	<ul style="list-style-type: none"> • Informed 	<ul style="list-style-type: none"> • Informed
2.4	Appointment of Board Chair, Vice-Chair and link trustee roles	<ul style="list-style-type: none"> • Appoint in line with Articles. • Appoint link trustees (safeguarding, SEND, school links). 	<ul style="list-style-type: none"> • May be consulted to make recommendations to Board. 	<ul style="list-style-type: none"> • Informed 	<ul style="list-style-type: none"> • Informed
2.5	Appointment of committee and LAB Chairs	<ul style="list-style-type: none"> • Appoint. 	<ul style="list-style-type: none"> • Consulted. • Recommend appointment/removal of LAB Chairs. 	<ul style="list-style-type: none"> • Consulted and may recommend for LAB. • Informed on committee chairs. 	<ul style="list-style-type: none"> • Consulted and may recommend for LAB. • Informed on committee chairs.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
2.6	Appointment of committee and LAB members	<ul style="list-style-type: none"> • Approve Committee memberships. • Approve appointments/removal of all LAB members. 	<ul style="list-style-type: none"> • Recommend appointment/removal of LAB members. • Develop and deliver induction support requesting LAB and HT contributions. 	<ul style="list-style-type: none"> • For LAB: review membership and skills needs/gaps. • Support/recommend appointment of co-opted members. • Monitor elections of parent and staff LAB members. • Deliver induction/training in line with CEO plans. • Approve allocation of Governor Link Roles (to include safeguarding & child protection, SEND, Pupil Premium). 	<ul style="list-style-type: none"> • For LAB: deliver skills audit to identify gaps. • Consulted on and support recruitment of Co-opted Governors. • Deliver process for election of parent and staff LAB members. • Deliver safeguarding checks of Governors (including DBS) and ensuring Governors are included on Single Central Record. • Deliver induction/training in line with CEO plans.
2.7	Appointment of Clerk to Trust Board, committees and LAB	<ul style="list-style-type: none"> • Appoint Clerk to the Board. • Informed on appointments of Clerks to committees and LAB. 	<ul style="list-style-type: none"> • Recommend Clerk to Board. • Deliver and approve appointments of Clerks to committees and LAB. 	<ul style="list-style-type: none"> • Informed of appointment of Clerk for LAB. 	<ul style="list-style-type: none"> • Informed of appointment of Clerk for LAB.
2.8	Schedule of Board and committee meetings and business	<ul style="list-style-type: none"> • Approve schedule and priorities across governance operation. • Accountable for meeting statutory requirements for governance arrangements. • Review policy framework. 	<ul style="list-style-type: none"> • Develop and recommend schedule. • Deliver statutory requirements around governance arrangements. • Monitor and review policy framework. 	<ul style="list-style-type: none"> • Approve any individual school priorities, business and dates for LAB to fit with Trust schedule. 	<ul style="list-style-type: none"> • Develop and recommend to LAB and deliver in line with Trust schedule.
2.9	Governance reviews	<ul style="list-style-type: none"> • Approve approach. • Review CEO report and approve actions or recommendations. • Provide report to Members. 	<ul style="list-style-type: none"> • Develop and deliver approved approach for review, drawing on external expertise where required. 	<ul style="list-style-type: none"> • Consulted – expect to contribute from LAB perspective, where required. 	<ul style="list-style-type: none"> • Consulted – expect to contribute from school perspective, where required.
3	EDUCATION, CURRICULUM, & SCHOOL PERFORMANCE				
3.1	School Improvement Plans and Self-Evaluation	<ul style="list-style-type: none"> • Monitor capacity of Trust to ensure school improvement. 	<ul style="list-style-type: none"> • Consulted on, approve and review. 	<ul style="list-style-type: none"> • Informed and monitor progress. • Informed of the outcomes of external monitoring. 	<ul style="list-style-type: none"> • Develop, deliver and report progress to LAB and CEO.
3.2	Trust and school academic targets	<ul style="list-style-type: none"> • Informed on Trust academic targets and progress. • Accountable for all assessment practices meeting requirements laid out in statutory guidance. 	<ul style="list-style-type: none"> • Responsible for Trust and school targets. • Responsible for all assessment practices, meeting requirements laid out in statutory guidance. • Receive termly reports from HTs on progress/ plans. 	<ul style="list-style-type: none"> • Consulted on school targets and monitor progress. 	<ul style="list-style-type: none"> • Develop school targets, consulting with LAB and CEO. • Deliver approved targets. • Provide regular analysis of school performance to the CEO and LAB via Headteacher's report and data dashboard.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
3.4	Ensuring Trust and each school's readiness for inspection including Ofsted	<ul style="list-style-type: none"> Review reports from CEO on readiness for inspection. 	<ul style="list-style-type: none"> Develop and deliver Trust plans. Approve, review and support school plans. Support schools preparing for Ofsted inspections & monitoring visits. Report to Board. 	<ul style="list-style-type: none"> Consulted on school plans. Monitor actions/ progress and deliver LAB role for plans or inspections. 	<ul style="list-style-type: none"> Develop and deliver plans including LAB consultation. Report to CEO and LAB on plans, inform and support LAB on their role.
3.5	School curriculum intent & provision	<ul style="list-style-type: none"> Accountable for all curriculum practices meeting requirements laid out in statutory guidance. 	<ul style="list-style-type: none"> Responsible for all curriculum and assessment practices, meeting requirements laid out in statutory guidance. Monitor and review. 	<ul style="list-style-type: none"> Informed of school approach and implementation. 	<ul style="list-style-type: none"> Develop and deliver and report to LAB and CEO.
3.6	Teaching and learning	<ul style="list-style-type: none"> Accountable for the overall quality and impact of teaching. 	<ul style="list-style-type: none"> Responsible for the overall quality and impact of teaching across the Trust. Responsible for maintaining a view of the quality of teaching in all schools through effective teacher evaluation. Facilitation of peer networking groups and Trust-wide Professional Development opportunities. Development of the Exceed Institute. 	<ul style="list-style-type: none"> Monitor school approach to securing the quality and impact of teaching, including the effectiveness of CPD and coaching for teachers. 	<ul style="list-style-type: none"> Evaluate and review the quality of teaching, assessment and pupil attainment within school. Set and deliver the vision and model for developing teaching and approach to improving teacher quality. Arrange CPD and coaching for teachers.
3.7	Policies and practice for teaching, learning and pedagogy	<ul style="list-style-type: none"> Informed. 	<ul style="list-style-type: none"> Review implementation and report to Board. 	<ul style="list-style-type: none"> Approve, review and monitor relevant policies for school. 	<ul style="list-style-type: none"> Deliver for school. Report to CEO and LAB including noting any issues with policies or implementation.
3.8	Strategy for Pupil Premium and other grants	<ul style="list-style-type: none"> Approve Trust wide approach and relevant policies and practice. 	<ul style="list-style-type: none"> As per policy schedule, develop, recommend/ approve policies and practice across Trust, consulting with schools. Deliver for Trust. Review implementation and report to Board. 	<ul style="list-style-type: none"> Review and monitor school implementation. Review and approve school policies. Informed/consulted on audits/reviews. Appoint lead LAB member for Pupil Premium. 	<ul style="list-style-type: none"> Consulted on Trust wide approach. Develop and deliver for school informed by Trust strategy. Commission audits/reviews and deliver any recommendations. Report to LAB and CEO.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
3.9	Safeguarding oversight, policies and procedures	<ul style="list-style-type: none"> Review and approve Trust policies and procedures. Accountable for ensuring each school has a Designated Safeguarding Lead (DSL) and Looked After Children (LAC) designated teachers. Review reports from CEO on implementation and compliance. 	<ul style="list-style-type: none"> Develop and recommend Trust policies and procedures. Monitor schools to ensure they have DSL and LAC designated teachers. Consult with schools. Deliver on Trust wide basis. Facilitate DSL network group. Review implementation and report to Board. 	<ul style="list-style-type: none"> Review and monitor school implementation. Approve school elements and appoint lead LAB member for safeguarding. Informed on and monitor DSL & LAC teachers for school. 	<ul style="list-style-type: none"> Consulted on Trust wide approach. Deliver in own school, compliant with Trust approach. Appoint school DSL and LAC teachers and publish details on the website. Commission audits/reviews and deliver any recommendations. Report to CEO and LAB.
3.10	Strategy for SEND provision and relevant policies and practice Note: lead trustee roles included under 'Governance'	<ul style="list-style-type: none"> Review and approve Trust policies and procedures. Review reports from CEO. 	<ul style="list-style-type: none"> Develop and make recommendations on policies and procedures. Deliver on a Trust wide basis. Informed on school approach. Facilitate SENDCO network group. Review implementation and report to Board. 	<ul style="list-style-type: none"> Consulted and monitor for school. Approve school elements and appoint lead LAB member for SEND. Informed/consulted on audits/reviews. 	<ul style="list-style-type: none"> Consulted on Trust wide approach. Develop and deliver options for school approach informed by Trust strategy. Commission audits/reviews and deliver any recommendations. Report to CEO and LAB.
3.11	Admissions, behaviour and pastoral care, attendance, safeguarding complaints, equalities and exclusions	<ul style="list-style-type: none"> Approve Trust wide approach and relevant policies and practice. Accountable for admissions, exclusions, pastoral and safeguarding arrangements meeting national requirements laid out in statutory guidance Engage in supporting governor panels to review exclusion decisions if required. 	<ul style="list-style-type: none"> As per policy schedule, develop, recommend/ approve policies and practice across Trust, consulting with schools. Deliver for Trust. Review implementation and report to Board. Responsible for admissions, exclusions, pastoral and safeguarding arrangements meeting national requirements laid out in statutory guidance. 	<ul style="list-style-type: none"> Review and monitor school implementation. Review and approve school policies. Review school approach to culture, ethos and wellbeing including behaviour, attendance and inclusion. Review Exclusion decisions through governor panels and engage in IRP process if required. Informed of safeguarding complaints, referrals and training within school. Review fixed term exclusion data. 	<ul style="list-style-type: none"> Consulted on Trust wide approach. Develop and deliver for school informed by Trust strategy. Deliver school approaches to culture, ethos and wellbeing including behaviour, attendance and inclusion practices. Develop and recommend policies. Ensure compliance with policies and guidance on statutory responsibilities. Manage school admissions appeals. Deliver effective school attendance, inclusion and safeguarding procedures inc. training and DBS processes within policies. Deliver internal exclusions procedures and ensure exclusion administration fulfils all relevant requirements for these, fixed term and permanent exclusions.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
					<ul style="list-style-type: none"> • Respond to safeguarding and other complaints against staff. • Oversee referral of at-risk children to Local Authority and other agencies. • Report to LAB and CEO.
4	STAKEHOLDER ENGAGEMENT				
4.1	Publication of information on Trust and school websites	<ul style="list-style-type: none"> • Informed requirements are met across Trust. 	<ul style="list-style-type: none"> • Develop and deliver information and compliance with website publication requirements. • Report to LABs and • Board requirements are met. 	<ul style="list-style-type: none"> • Informed and monitor requirements are met for school. Consulted by CEO on stakeholder perspective on information. 	<ul style="list-style-type: none"> • Deliver for school and report to CEO and LAB.
4.2	Trust branding	<ul style="list-style-type: none"> • Informed. 	<ul style="list-style-type: none"> • Develop and deliver. 		<ul style="list-style-type: none"> • Consulted by CEO on school perspective. Deliver for school.
4.3	Stakeholder engagement including partners, parents, pupils and local communities	<ul style="list-style-type: none"> • Review reports. • Expected to have role to deliver including Trust partners, and liaise with CEO. 	<ul style="list-style-type: none"> • Develop, recommend and deliver. • Report to Board. • Provide guidance and examples of best practice on communication with parents. • Provide advice/guidance on media communications, ensuring consistency. • Manage crisis communications. 	<ul style="list-style-type: none"> • Expected to have role to deliver or monitor communication with local school stakeholders, including parent community, and report to CEO and HT. • Support engagement with parents, wider community and other stakeholders. • Consulted on school term dates. 	<ul style="list-style-type: none"> • Deliver effective engagement and communication with parents and the wider community and report to CEO and LAB. • Manage media, community, LA relations. • Set school term dates following Trust recommendations where provided.
4.4	Complaints	<ul style="list-style-type: none"> • Approve Trust-wide Complaints Policy and Procedure. • Engage in complaints appeals panels. 	<ul style="list-style-type: none"> • Develop and recommend Trust-wide Complaints Policy and Procedure. • Provide guidance and support with complaints. 	<ul style="list-style-type: none"> • Engage in complaints appeals panels. • Monitor complaints data. 	<ul style="list-style-type: none"> • Implement Trust-wide Complaints Policy and Procedure.
5	FINANCIAL MANAGEMENT AND INTERNAL CONTROL				
	<i>These areas of delegation will be delivered in line with the Trust's financial delegations which are set out in a separate document.</i>				
5.1	Financial Regulations, financial and asset management policies and procedures to ensure compliance across Trust & schools	<ul style="list-style-type: none"> • Approve policies and practice across the Trust. • Review CEO reports on compliance and financial and asset management. • Accountable for meeting statutory requirements around reporting, budget submissions and financial controls. 	<ul style="list-style-type: none"> • Develop and recommend approach, policies and scheme of financial delegation informed by consultation, compliance requirements and Trust practice. • Review implementation and provide relevant reports to Board. • Sign off on expenditure for "at risk" finance schools. 	<ul style="list-style-type: none"> • Informed on and may be asked to monitor any material issues or non-compliance and actions/progress. • Informed about activities to generate additional income. 	<ul style="list-style-type: none"> • Deliver compliance in school, including finance administration and internal controls arrangements in line with the Exceed approach. • Report any material issues or non-compliance to CEO and LAB and, where required, actions/progress.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
		<ul style="list-style-type: none"> Review robustness of benchmarking and Trust-wide value for money. 	<ul style="list-style-type: none"> Prepare and recommend procurement strategy, including mandating contracts and frameworks for tenders. Accountable for meeting statutory requirements around reporting and budget submissions to Companies House and ESFA. 		<ul style="list-style-type: none"> Deliver, monitor and review activities to generate additional income, including actively engaging in letting opportunities.
5.2	Setting budgets within the Trust	<ul style="list-style-type: none"> Approve annual and longer term budgets for Trust and schools. 	<ul style="list-style-type: none"> Develop and recommend budget for Trust and schools. Expect iterative process with HTs to develop school budgets 	<ul style="list-style-type: none"> Informed/consulted on school budget. 	<ul style="list-style-type: none"> Develop budget for own school with discussion and support from CEO.
5.3	Monitoring and reporting on Trust and school budgets	<ul style="list-style-type: none"> Review and approve management accounts and monitoring reports. 	<ul style="list-style-type: none"> Develop and report to Board for Trust and schools with management accounts circulated to Board & Chair of Board. Deliver and report on Trust/central budget. 	<ul style="list-style-type: none"> Monitor delivery of school budget to inform understanding of resources and delivery of school plans. Monitor management accounts. Review use of Pupil Premium. Review capital plans and proposed funding. 	<ul style="list-style-type: none"> Deliver approved school budget and report to LAB and CEO.
5.4	External auditors and audit; internal auditors, audit and controls; and bankers for the Trust	<ul style="list-style-type: none"> Approve: appointment of bankers; policies and procedures and any appointments or reports for internal controls and audit; internal audit plan. Informed on appointment of external auditors (Note: appointment is by Members). 	<ul style="list-style-type: none"> Report to Board on actions to: review performance of internal or external auditors; deliver process for auditors' appointment, for external auditors report to Members; develop and recommend policies, procedures, appointments, reports for internal controls/audit, report information from internal auditors/ third parties. If required, recommend change in bankers. Report any material non-compliance to Board. 	<ul style="list-style-type: none"> Informed on and may be asked to monitor any reports of internal non-compliance in school in line with internal controls. 	<ul style="list-style-type: none"> Deliver in school (for example meeting requests from auditors or bank requirements). Report on progress, including highlighting any concerns to CEO. Report any material non-compliance in line with internal controls and, where required, actions/progress.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
5.5	External audit reports, annual report and accounts	<ul style="list-style-type: none"> • Review external audit reports. • Review and approve annual report and accounts. • Informed on completion of process with Members. 	<ul style="list-style-type: none"> • Report information from auditors and progress of actions/reports to Board. • Develop information for audit and annual report with schools' and external input. • Recommend annual report and accounts to Board and, once approved by Board, deliver accounts for Members. 	<ul style="list-style-type: none"> • Informed on and monitor any areas of internal non-compliance in school. 	<ul style="list-style-type: none"> • Develop any detail required for school. • Informed on any actions from audit reports relevant for school, deliver identified actions and report on progress to CEO.
5.6	Procurement and contract approval	<ul style="list-style-type: none"> • Approve all contracts requiring Trustee approval in line with the Scheme of Financial Delegation. 	<ul style="list-style-type: none"> • Deliver procurement strategy, including mandating contracts and frameworks for tenders. • Approve all contracts within the agreed amounts for CEO approval in line with the Scheme of Financial Delegation. • Deliver coordination of Trust-wide procurement tenders. • Deliver support for coordination of school level procurement tenders. • Make recommendations for approval for Trust-wide contracts requiring Trustee approval in line with Scheme of Financial Delegation. 		<ul style="list-style-type: none"> • Approve all contracts within agreed amounts in line with Scheme of Financial Delegation. • Deliver coordination of school level procurement tenders. • Make recommendations for approval for contracts requiring additional approval in line with Scheme of Financial Delegation.
6	PREMISES, ICT, HEALTH & SAFETY AND GDPR				
6.1	Trust policies and, property strategy, estate improvement plans, priorities for premises maintenance and development incl. accessibility plan	<ul style="list-style-type: none"> • Approve Trust wide policies, plans, priorities and associated budgets. • Review reports from CEO. • Review delivery incl. major capital projects. 	<ul style="list-style-type: none"> • Develop, recommend and deliver Trust policies, plans, priorities and associated budgets. • Report on progress towards implementation. • Deliver and review compliance audits and the implementation of action plans. • Deliver support to schools in arranging contracts e.g. school catering, M&E, energy. • Develop Trust's capital strategy and SCA allocation. 	<ul style="list-style-type: none"> • Consulted on priorities for school in line with Trust policy and budgets. • Monitor delivery of school priorities and informed/consulted on capital projects delivered by Trust. • Informed on and review compliance audits/checks. 	<ul style="list-style-type: none"> • Develop school priorities, report to CEO and LAB; where required by CEO, contribute to development/ delivery. • Consulted on long term strategic site/capital priorities. • Maintain an up to date asset register. • Ensure that appropriate risk assessments are in place. • Engage in compliance audits, where required.

Ref	Area	Trust Board	Chief Executive Officer	Local Advisory Board	Headteacher
					<ul style="list-style-type: none"> • Ensure compliance with procurement and building maintenance regulations at school level. • Engage in capital build projects as required. • Develop and deliver ongoing maintenance and capital works strategy at school level.
6.2	Health & Safety	<ul style="list-style-type: none"> • Approve Trust wide policies, plans, priorities and associated budgets. • Review reports from CEO. • Accountable for meeting statutory requirements around health and safety (H&S). 	<ul style="list-style-type: none"> • Develop and deliver Trust policies, plans, priorities and actions, review reports from HTs on schools, monitor Trust and school action plans and make recommendations to Board. • Responsible for meeting statutory requirements around health and safety (H&S). • Arrange H&S audits. 	<ul style="list-style-type: none"> • Informed on any action plans for school. • Monitor H&S incidents at school. 	<ul style="list-style-type: none"> • Implement school H&S policies and procedures. • Develop any detail or deliver action plans for school as required by CEO. • Engage in H&S audits.
6.3	ICT services and resources	<ul style="list-style-type: none"> • Approve Trust ICT Strategy. • Approve Trust-wide ICT policies. • Informed on delivery plans and routine/replacement ICT in line with budgets. • Approve major ICT projects within approved budgets. 	<ul style="list-style-type: none"> • Develop, recommend and deliver ICT Strategy and policies. • Develop, recommend and deliver plans for routine/replacement ICT and major investment informed by school priorities and budgets. • Monitor Trust and school projects. • Lead on engagement with ICT contractors/service providers at school level; contract management for overall IT service; and ICT procurement. • Authorise asset disposals. 	<ul style="list-style-type: none"> • Informed of ICT Strategy including capital investments • Informed on major projects delivered by Trust and may be asked to monitor any actions in school. 	<ul style="list-style-type: none"> • Develop detail on school priorities and report to CEO. • Implement ICT policies in school including Acceptable Use Policy.
6.4	General Data Protection Regulations (GDPR)	<ul style="list-style-type: none"> • Approve Trust-wide Policies. • Accountable for meeting statutory requirements around data protection and security. • Informed of data breaches and status of Subject Access Requests. 	<ul style="list-style-type: none"> • Deliver statutory requirements around data protection and security. • Approve data protection policy. • Develop and deliver data protection guidelines and standards and oversee Trust approach to data protection controls. • Deliver response in event of data breach. 	<ul style="list-style-type: none"> • Informed of overall approach to DP and security. 	<ul style="list-style-type: none"> • Deliver roll out of required policies ensuring they are communicated to all staff. • Deliver implementation of data protection requirements and standards at school level in line with overall Exceed approach.

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7	STAFFING, HR, AND PAY	<i>These areas of delegation will be delivered in line with the Trust's HR policies and financial delegations which are set out in separate documents</i>			
7.1	HR policies & practice	<ul style="list-style-type: none"> • Approve Trust-wide HR policies, ensuring compliance including with statutory requirements. • Implement performance appraisal, probation, development, pay and benefits, disciplinary and grievance including investigations and other HR policies as relevant for the CEO. • Accountable for meeting statutory reporting requirements. • Engage in Disciplinary and Grievance panels and appeals. 	<ul style="list-style-type: none"> • Develop and recommend/approve Trust-wide HR policies and practice across Trust. • Implement performance appraisal, probation, leadership development, pay and benefits, disciplinary and grievance including investigations and other HR policies as relevant for central Trust staff and Headteachers. • Conduct the schools Pay Award and Pay Scales process annually in consultation with unions. • Provide employee relations, KCSIE (Keeping Children Safe in Education) checks and SCR (Single Central Record) reporting, payroll, pensions and recruitment training and guidance to schools. • Liaise with unions, manage the NJC. • Oversee all HR monitoring and reporting. • Accountable for meeting statutory reporting requirements. 	<ul style="list-style-type: none"> • Engage in Disciplinary and Grievance panels and appeals. • Monitor compliance with SCR (Single Central Record) process. • Monitor effective implementation of policies. 	<ul style="list-style-type: none"> • Deliver roll out of policies in school ensuring they are communicated to all staff and implemented. • Where required, consulted on policies and practice. • Implement performance appraisal, probation, leadership development, pay and benefits, disciplinary and grievance including investigations at school level and other HR policies as relevant. • Ensure effective SCR (Single Central Record) procedures are being followed.
7.2	Staff structure and proposals for restructuring or redundancy	<ul style="list-style-type: none"> • Informed on central Trust staff structure. • Review and approve proposals for restructuring or redundancy for Trust and schools in line with Trust delegations. 	<ul style="list-style-type: none"> • Approve and deliver central Trust staff structure in line with budget. • Report to Board on plans and progress. • Monitor delivery of schools' staffing structure. • Approve/recommend school changes, informed by consultation with school leaders. 	<ul style="list-style-type: none"> • Consulted for any material changes in school staffing structure. 	<ul style="list-style-type: none"> • Approve and deliver school staffing in line with budgets and structure. • Recommend to CEO proposals for restructuring or redundancy.
7.3	Appointment of CEO and Accounting Officer	<ul style="list-style-type: none"> • Deliver and approve drawing on external expertise as considered necessary. 	<ul style="list-style-type: none"> • Executive Team to deliver support as required/appropriate. 	<ul style="list-style-type: none"> • Informed of decision. 	<ul style="list-style-type: none"> • Informed of decision.

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7.4	Appointment of central Trust staff including the Chief Financial Officer	<ul style="list-style-type: none"> • Informed/consulted on appointments of key central staff. • Engage in appointment panels if requested. 	<ul style="list-style-type: none"> • Deliver recruitment process. • Approve appointments in line with policy, budget and approved staffing structure. • Deliver pre-appointment checks. 	<ul style="list-style-type: none"> • Informed of appointment decisions. 	<ul style="list-style-type: none"> • Informed of appointment decisions.
7.5	Appointment of Executive Headteacher / Headteacher / Head of School / Head of Centre	<ul style="list-style-type: none"> • Informed/consulted on appointments. • Engage in appointment panels if requested. 	<ul style="list-style-type: none"> • Deliver recruitment process and approve appointment. • Deliver pre-appointment checks. 	<ul style="list-style-type: none"> • Informed/consulted on process and appointment decision. • Engage in appointment panels if requested. 	
7.6	Appointment of other school senior leaders (including Deputy Headteacher and Assistant Headteacher)		<ul style="list-style-type: none"> • Consulted on recruitment process and appointments. • Right to be involved in recruitment process. • Informed of decisions. 	<ul style="list-style-type: none"> • Informed of decisions. • Engage in appointment panels if requested. 	<ul style="list-style-type: none"> • Deliver and approve appointments in line with policy, budget and approved staffing structure. • Deliver pre-appointment checks.
7.7	Appointment of other school staff			<ul style="list-style-type: none"> • Engage in appointment panels if requested. 	<ul style="list-style-type: none"> • Deliver and approve appointments in line with policy, budget and approved staffing structure. • Deliver pre-appointment checks.
7.8	Performance management for CEO	<ul style="list-style-type: none"> • Deliver and approve performance management and pay, drawing on external expertise as required. 			
7.9	Appraisal and Performance management of Headteachers and Executive Team	<ul style="list-style-type: none"> • Approve performance management process and pay recommendations. • May be involved to review or approve any appeals in line with approved policy. 	<ul style="list-style-type: none"> • Deliver performance management, including drawing on any external expertise as required, and make pay recommendations to Board. 	<ul style="list-style-type: none"> • LAB Chair may be consulted if the CEO deems it necessary. 	
7.10	Appraisal and performance management for all other central team and school staff	<ul style="list-style-type: none"> • May be involved to review or approve any appeals in line with policy. • Informed of process completion. 	<ul style="list-style-type: none"> • Central team staff: deliver and approve performance management. • School staff: Informed on process, completion and summary of HT decisions. 	<ul style="list-style-type: none"> • Informed on process and completion. • Consulted on anonymised outcomes and pay recommendations. • May be involved in review or approve appeals. 	<ul style="list-style-type: none"> • Deliver and approve in line with policy. Report on process, its completion and outcomes to LAB and CEO.

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7.11	Staff development and wellbeing	<ul style="list-style-type: none"> • Approve Trust-wide policies. 	<ul style="list-style-type: none"> • Trust level: Approve opportunities in line with delegations, budget and policy. • School level: Informed on plans and delivery. • Undertake an annual staff survey. 	<ul style="list-style-type: none"> • Informed on plans and delivery by Headteacher. 	<ul style="list-style-type: none"> • School staff: Approve opportunities for OR recommend to CEO, in line with delegations, budget and policy. • Report to LAB and CEO. • Establish a culture and level of organisation which actively contributes to staff wellbeing.
8	POLICIES AND PROCEDURES				
8.1	Trust policies and procedures	<ul style="list-style-type: none"> • Approve Trust-wide policies. 	<ul style="list-style-type: none"> • Develop and recommend Trust-wide policies. • Monitor and review policies in line with policy schedule approved by Board. 	<ul style="list-style-type: none"> • Consulted and informed on Trust-wide policies. • Review and approve school policies. 	<ul style="list-style-type: none"> • Consulted and informed on Trust-wide policies. • Develop and Recommend school policies. • Deliver roll out of all policies ensuring they are communicated to all staff and implemented effectively.
8.2	Governance policies & procedures	<ul style="list-style-type: none"> • Approve policies or actions informed by CEO report. • Inform CEO where relevant, e.g. register of interest and related party transactions. • Review reports from CEO on compliance with policies and processes and make recommendations, including changes needed in policies or approach. 	<ul style="list-style-type: none"> • Develop, deliver and review policies and procedures and their implementation and report to Board. 	<ul style="list-style-type: none"> • Informed on policies and procedures. • Monitor compliance of policies for school. 	<ul style="list-style-type: none"> • Consulted on policies & procedures. • Deliver in own school.